

## Article

# Multimodal Deep Learning Framework for Decoding Treatment Response in NSCLC: Biomarker Discovery for Immune Checkpoint Inhibitors

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**Abstract:** Non-small cell lung cancer (NSCLC) remains one of the leading causes of cancer-related mortality worldwide, with therapeutic progress often limited by late-stage diagnosis and the variable effectiveness of existing treatments. Immune checkpoint inhibitors (ICIs) have emerged as a promising therapeutic approach, but their clinical success depends critically on accurate biomarker-based patient stratification. Current strategies for integrating biomarkers, however, remain fragmented and lack the robustness needed for reliable prediction of treatment outcomes. To address this gap, we propose a novel multimodal deep learning framework that integrates CT images, PET/CT scans, and curated biomarker profiles to improve prediction of ICI treatment response in NSCLC patients. Our model employs a pre-trained Xception encoder for advanced image feature extraction, an Encoder Attention Network for semantic representation learning, and a DenseNet-inspired metadata processor for structured biomarker data. Multimodal features are fused through a Block Dense Attention Convolutional Module with Self-Attention Multi-Head (BDAC-SAMH) mechanism, enabling richer interactions across modalities. Experimental evaluation demonstrates that our framework achieves 94.3% accuracy, 92.1% sensitivity, and 93.5% specificity, significantly outperforming conventional CNN-based unimodal methods. Importantly, the proposed system improves prediction of key ICI biomarkers, including PD-L1, TMB, and MSI, by 15.6% compared to unimodal baselines while revealing novel biomarker interactions. This highlights its potential to guide personalized immunotherapy strategies in NSCLC.

**Keywords:** Multimodal Deep Learning; NSCLC; Immune Checkpoint Inhibitors; Biomarker Discovery; Lung Cancer Diagnosis

## 1. Introduction

Lung cancer remains one of the leading causes of cancer-related mortality worldwide, with non-small cell lung cancer (NSCLC) accounting for approximately 85% of all cases [1]. Despite advances in diagnostic imaging and therapeutic interventions, the survival rates for NSCLC patients remain dismally low due to late-stage diagnosis and heterogeneous tumor biology. Immune checkpoint inhibitors (ICIs) have revolutionized treatment paradigms, offering durable responses in a subset of patients [2]. However, the identification of reliable biomarkers to predict response to ICIs and guide personalized treatment remains a critical unmet need [3].

Several challenges complicate the biomarker discovery and clinical translation process. Firstly, lung cancer biomarkers are often fragmented across multiple data types, including imaging, genomics, proteomics, and clinical metadata, making integrative analysis difficult [4]. Secondly, conventional diagnostic workflows struggle to incorporate complex molecular and radiological data, limiting their applicability in precision medicine [5]. Thirdly, biomarker validation and regulatory approval require rigorous and dynamic re-evaluation as emerging evidence continuously reshapes clinical utility, posing hurdles in standardization and implementation [6]. Furthermore, developing effective computational frameworks capable of learning from heterogeneous, multimodal datasets remains a technical challenge due to differences in data formats, dimensionalities, and noise profiles.

The core problem lies in accurately decoding treatment response patterns in NSCLC by effectively integrating heterogeneous multimodal data, such as CT images, biomarker databases, and patient metadata, to identify predictive biomarkers of immunotherapy response [7]. Existing methods often rely on unimodal data or simple feature fusion strategies, which can overlook complex cross-modal relationships essential for accurate prediction. Moreover, traditional encoding schemes adapted from natural language processing are often insufficiently specialized for medical contexts, resulting in redundant feature representations and inefficient parameter usage [8,9]. Consequently, there is a need for a robust multimodal deep learning framework that can seamlessly fuse heterogeneous data sources, enhance semantic alignment, and yield clinically interpretable predictions for both tumor classification and immune checkpoint inhibitor response [10].

This study aims to develop a multimodal deep learning framework to:

1. To extract and encode high-level features from CT images and associated clinical/biomarker metadata.
2. To achieve effective cross-modal feature alignment that enhances semantic integration between modalities.
3. To fuse the aligned features into a unified representation conducive to accurate classification.
4. To predict NSCLC subtypes (normal, benign, malignant) and estimate response likelihood to immune checkpoint inhibitors.

The novelty of the proposed work lies in the design of an integrated architecture that combines a pre-trained Block Xception model for image feature extraction with a DenseNet-based metadata encoder, followed by a novel encoder-attention mechanism reinforced with a decoder for improved semantic alignment. The fusion of these features is further processed through a Block Dense Attention Convolutional module coupled with a Self-Attention Multi-Head mechanism (BDAC-SAMH) to capture complex spatial and contextual dependencies. This end-to-end framework is optimized specifically for lung cancer biomarker discovery and treatment response prediction, leveraging multimodal data more effectively than prior approaches.

## 2. Related Works

Recent advances in lung cancer diagnosis have increasingly leveraged multimodal data integration and deep learning models to improve accuracy, robustness, and clinical applicability. These works demonstrate diverse strategies that combine medical imaging, clinical data, genomics, and novel feature extraction methods to enhance detection and classification performance.

As stated by Sangeetha et al. [11], a Multimodal Fusion Deep Neural Network (MFDNN) integrates radiology, pathology, genomics, and clinical data, achieving a high overall diagnostic accuracy of 92.5%. This work emphasizes the importance of combining heterogeneous data sources to improve lung cancer diagnostic reliability and addresses the ethical considerations and regulatory requirements for deploying AI in clinical settings. Similarly, Kumar et al. [12] compares two multimodal architectures—late fusion and intermediate fusion—showing that intermediate fusion with an adaptive batch size yields superior performance in lung disease detection.

Beyond fusion architectures, Uddin et al. [13] introduces two novel dense neural network architectures (D1 and D2) validated on multiple large datasets containing histopathological and CT scan images of lung and colon cancers. The models exhibit exceptional classification accuracy, with D1 achieving up to 99.96% on LC25000 and robust performance even when trained on limited data subsets. The study also incorporates explainable AI techniques, such as Grad-CAM, to visualize model attention on medical images, thereby enhancing interpretability.

In terms of ensemble approaches, Kim et al. [14] proposes combining neural network models trained on clinical data, handcrafted radiomic features (HCR), and deep learning radiomics (DLR). The ensemble outperforms models relying on single data types, indicating the benefit of multi-source data integration for predicting lung cancer recurrence. Meanwhile, William et al. [15] focuses on distinguishing lung cancer from COVID-19 using deep learning (AlexNet) on CT and X-ray images. Their results suggest CT images provide higher diagnostic accuracy than X-rays or multimodal imaging.

Advanced multimodal methods also encompass the combination of molecular imaging and digital pathology. Janben et al. [16] presents an AI algorithm integrating MALDI mass spectrometry imaging with whole-slide histopathology images to detect and subtype lung tumors. Achieving up to 94.7% accuracy at the spectrum level, the approach shows promise for augmenting clinical workflow by reducing pathologist workload.

Feature engineering also plays a critical role Shim et al. [17] explores multimodal radiomic features, including texture and statistical descriptors, enhanced by image preprocessing techniques such as contrast stretching and gamma correction. Using support vector machines, they report near-perfect classification accuracy (up to 100%) for lung cancer subtypes, underscoring the value of optimized feature selection and enhancement.

From a feature fusion standpoint, Barrett et al. [18] introduces EMM-LC Fusion, which combines intermediate deep features from a modified AlignedXception model with clinical data, showing statistically significant improvements in lung cancer classification metrics compared to previous fusion methods. In a similar Amin et al. [19] applies deep learning to RNA-Seq, miRNA-Seq, and whole-slide images, achieving high classification accuracy and F1 scores, which validates the integration of multimodal omics and imaging.

Clinical application-oriented models include Kuang et al. [20], which uses a multimodal deep learning radiomics (MDLR) model to predict postoperative progression risk in stage I NSCLC. The MDLR incorporates clinical features, subjective CT findings, and deep learning signatures extracted using ResNet18, which are evaluated through the extreme learning machine classifier, and validated externally.

Further innovations in multimodal fusion involve stated by Aksu et al. [21], which proposes a 3D CNN that integrates PET and CT images using intermediate fusion for histological subtype classification of NSCLC. Tested on over 700 patients, this approach outperforms unimodal models and effectively handles class imbalance. Similarly, Sharma et al. [22] develops a deep ensemble using transfer learning with multiple CNNs (VGG16, InceptionV3, DenseNet201) combined with fitness tracker data, achieving a remarkable 97.2% accuracy, which demonstrates the integration of patient monitoring.

Addressing optimization and hyperparameter tuning, Karthikeyan et al. [23] presents the MFFOTL-LCDC method, which fuses features extracted from SqueezeNet, CapsNet, and Inception v3 using the Remora optimization algorithm and classifies them via a deep extreme learning machine. This method yields superior accuracy in recognizing lung cancer, showcasing the synergy between transfer learning and optimization algorithms.

Lastly, Park et al. [24] proposes a large-scale, multi-institutional 3D multimodal model that combines CT images and clinical data for lymph node metastasis classification in NSCLC patients. Employing an ensemble of deep learning models (including Xception), the study achieves an AUC up to 0.762 internally and 0.751 externally, illustrating the benefits of model ensembling and data diversity for generalizable clinical decision support. **Table 1** shows the Comparative summary of multimodal deep learning models for NSCLC and lung cancer classification with methodologies, datasets, and performance outcomes.

Despite significant progress in multimodal DL for lung cancer diagnosis/prognosis, several challenges remain in standardizing data fusion and optimizing models for imbalanced datasets [25]. Most methods focus on imaging combined with limited clinical or genomic data, but they are also combined with longitudinal patient data. Additionally, clinical deployment protocols remain underexplored, which limits their translation to practice [26]. Thus, there is a need for robust multimodal frameworks that adapt to heterogeneous data sources in clinical workflows [27].

**Table 1.** Summary.

| Ref.                    | Method/Model                                       | Algorithm/Techniques                                                                   | Methodology/Data                                                          | Outcomes/Performance                                                 |
|-------------------------|----------------------------------------------------|----------------------------------------------------------------------------------------|---------------------------------------------------------------------------|----------------------------------------------------------------------|
| Sangeetha et al. [11]   | Multimodal Fusion Deep Neural Network (MFDNN)      | Deep Neural Networks (Multimodal Fusion)                                               | Medical imaging, genomics, clinical data                                  | Accuracy: 92.5%, Precision: 87.4%, Recall: 86.4%, F1: 86.2           |
| Kumar et al. [12]       | Multimodal network (late & intermediate fusion)    | CNN with batch size adaptation                                                         | Medical imaging + clinical data                                           | Intermediate fusion better than late fusion                          |
| Uddin et al. [13]       | DenseNet architectures D1 & D2                     | Dense CNNs, explainable AI (Grad-CAM variants)                                         | Histopathological & CT images from multiple datasets                      | Accuracy: up to 99.96%, Robust to imbalance, High interpretability   |
| Kim et al. [14]         | Ensemble model for recurrence prediction           | Neural networks + Machine learning ensemble                                            | Clinical data, handcrafted radiomics (HCR), deep learning radiomics (DLR) | Improved accuracy over single-modality models                        |
| William et al. [15]     | AlexNet-based classification                       | CNN (AlexNet)                                                                          | CT and X-ray images (lung cancer vs COVID-19)                             | CT images outperform X-rays; no significant benefit from multimodal  |
| Janßen et al. [16]      | Two-modality classification algorithm              | Segmentation + classification with MALDI MSI & WSI                                     | Mass spectrometry imaging and digital microscopy                          | Test accuracy 94.7%; 100% accuracy on quality-controlled data        |
| Shim et al. [17]        | Radiomic feature classification                    | SVM (polynomial kernel) with feature engineering                                       | Texture features (Haralick, GLCM, GLSZM, GLRLM), image enhancement        | Accuracy up to 100%, AUC 1.00 with enhanced features                 |
| Barrett & Viana [18]    | EMM-LC Fusion model                                | Modified AlignedXception + clinical data fusion                                        | Intermediate feature fusion of image and clinical data                    | F1-score improved from 0.402 to 0.508 (significant)                  |
| Amin et al. [19]        | CNN on RNA-Seq, miRNA-Seq, WSIs                    | Deep CNN                                                                               | Multi-omics & Whole Slide Images                                          | Accuracies: RNA-Seq 96.79%, miRNA-Seq 98.59%, WSIs 89.73%; High AUCs |
| Kuang et al. [20]       | MDLR model for progression risk prediction         | Transfer learning (ResNet18), ELM classifier                                           | CT images + clinicopathological + subjective CT findings                  | Effective risk prediction measured by AUC                            |
| Aksu et al. [21]        | 3D multimodal CNN                                  | Intermediate fusion of PET and CT images                                               | PET and CT images (NSCLC) from multiple datasets                          | Better subtype classification than unimodal models                   |
| Sharma et al. [22]      | Deep ensemble model with fitness trackers          | Transfer learning (VGG16, VGG19, InceptionV3, Xception, DenseNet201) + weighted voting | Image data + fitness tracker data                                         | Accuracy 97.2%, innovative integration of wearable data              |
| Karthikeyan et al. [23] | MFFOTL-LCDC                                        | Feature fusion of SqueezeNet, CapsNet, Inception v3 + ROA + DELM                       | CT images                                                                 | Enhanced lung cancer recognition performance                         |
| Park et al. [24]        | 3D multimodal lymph node metastasis classification | Xception, SEResNet50, DenseNet121 ensemble                                             | CT images + clinical info from 4239 NSCLC patients                        | Highest AUC: 0.762 (internal), 0.751 (external) ensemble model       |

### 3. Proposed Method

The proposed framework processes multimodal data, images, and structured biomarker metadata through a coordinated architecture (**Figure 1**). CT and PET/CT images are processed using a pre-trained Block Xception encoder to extract high-level spatial features. Simultaneously, associated metadata (tumor class, genetic markers, biomarker levels) is processed using a DenseNet encoder with ReLU activation, global average pooling (GAP), and dropout for regularization. To extract meaningful interactions across modalities, an Encoder Attention Network (EAN) with a reinforced decoder learns weighted features. These are dimensionally aligned and concatenated into a unified vector. The combined representation passes through a Block Dense Attention Convolutional Module and Self-Attention Multi-Head (BDAC-SAMH) unit to enhance interpretability and classification performance.

#### Pseudocode for Multimodal NSCLC Classifier:

**Input:** CT\_images, PET\_images, Biomarker\_Metadata

**Output:** Tumor\_Class, ICI\_Response\_Probability

# Step 1: Image Feature Extraction

CT\_features = BlockXception(CT\_images)

PET\_features = BlockXception(PET\_images)

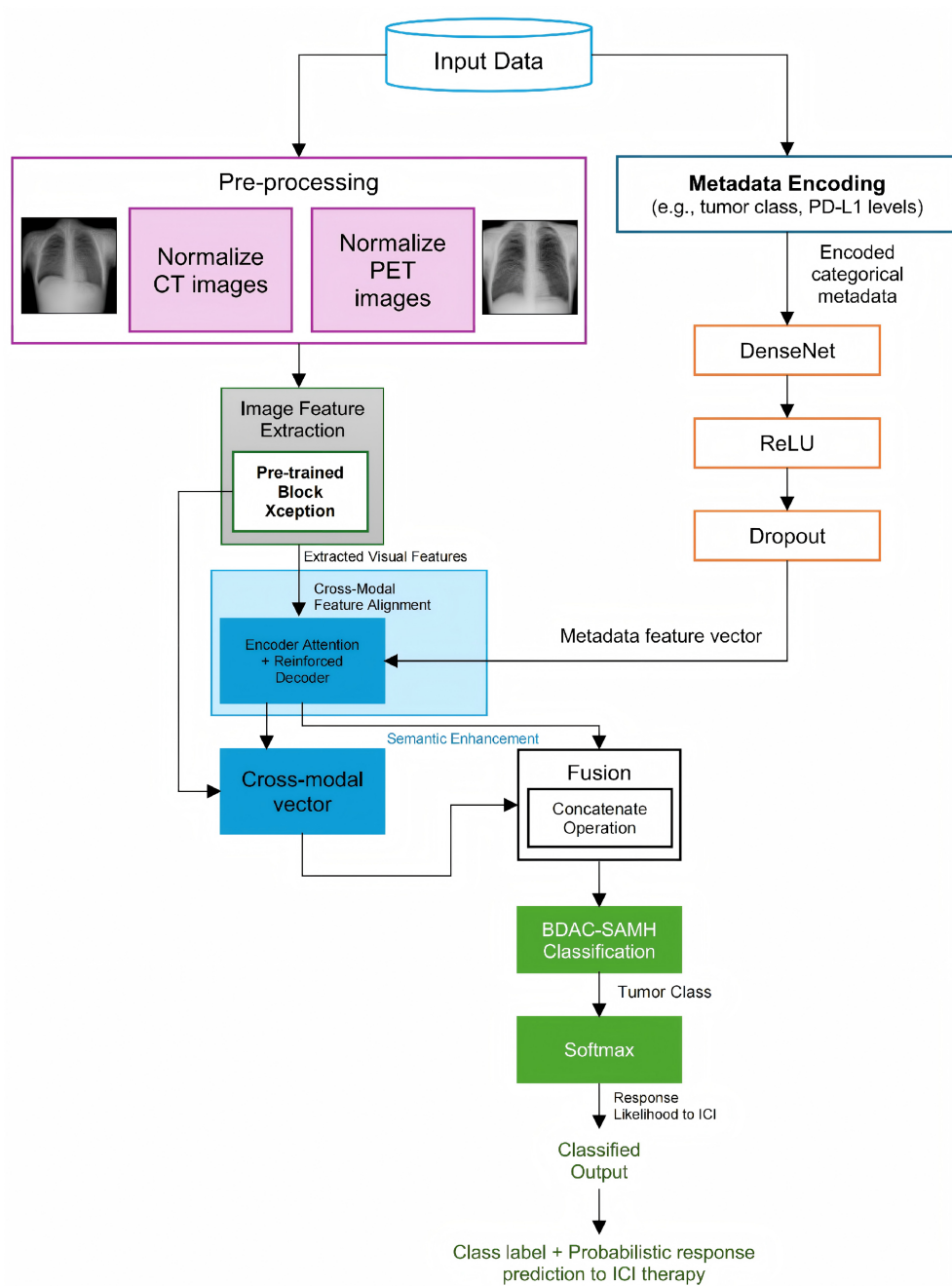
# Step 2: Metadata Feature Encoding

encoded\_metadata = DenseNet(Biomarker\_Metadata)

```

metadata_vector = ReLU(GAP(Dropout(encoded_metadata)))
# Step 3: Feature Fusion
CT_PET_vector = AttentionEncoder([CT_features, PET_features])
cross_modal_vector = ReinforcedDecoder(CT_PET_vector, metadata_vector)
fused_representation = Concatenate([cross_modal_vector, metadata_vector])
# Step 4: Classification
final_output = BDAC_SAMH(fused_representation)
prediction = Softmax(final_output)
return prediction

```



**Figure 1.** Proposed BDAC-SAMH architecture for multimodal data.

### 3.1. Preprocessing

Effective input preprocessing is foundational to the success of multimodal learning frameworks in healthcare, particularly when integrating diverse data types such as imaging and biomarker metadata. The objective is to ensure that each modality, CT/PET images, and structured biomarker data, is normalized, encoded, and formatted for downstream multimodal fusion. This section describes the preprocessing strategy with illustrative examples and formal notations.

#### 3.1.1. Imaging Preprocessing

CT and PET scans from Datasets 1 and 3 contain varying numbers of slices per patient, ranging from 80 to 200 images, and differ in resolution, orientation, and intensity scale. To make the images compatible with deep learning pipelines and pretrained models (e.g., Block Xception), we apply the following transformations:

- **Resizing:** All images are resized to  $299 \times 299$  pixels (input size for Xception).
- **Normalization:** Pixel values are normalized to the range [1] using min-max normalization:

$$I' = \frac{I - I_{\min}}{I_{\max} - I_{\min}}$$

where  $I$  is the original pixel intensity, and  $I_{\min}$  and  $I_{\max}$  are the minimum and maximum values per image.

- **Slice Aggregation:** For consistency, we aggregate slices per patient using maximum intensity projection (MIP), median filtering, or 3D average pooling along the z-axis.

**Table 2** summarizes a processed image data with aggregated metadata.

**Table 2.** Preprocessed imaging (from dataset 1).

| Case ID | Class     | # Slices | Aggregation | Normalized Resolution | Format        |
|---------|-----------|----------|-------------|-----------------------|---------------|
| LC001   | Malignant | 180      | MIP         | $299 \times 299$      | Float32 array |
| LC002   | Benign    | 120      | Average     | $299 \times 299$      | Float32 array |
| LC003   | Normal    | 160      | MIP         | $299 \times 299$      | Float32 array |

These standardized inputs ensure compatibility with pretrained CNN architectures, improve convergence, and reduce computational redundancy.

#### 3.1.2. Biomarker Metadata Preprocessing

Biomarker data from the LCBD (Dataset 2) include structured information such as:

- Tumor class (Normal, Benign, Malignant)
- PD-L1 expression level (High, Medium, Low)
- TMB (tumor mutational burden; numeric)
- MSI (Microsatellite Instability; categorical)
- Gene mutations (e.g., EGFR, KRAS)

Categorical features are transformed via one-hot encoding:

$$\text{Tumor\_Class}_{\text{encoded}} = [100] \text{ (for Normal)}$$

Numerical features such as TMB are standardized using z-score normalization:

$$z = \frac{x - \mu}{\sigma}$$

where  $x$  is the original value,  $\mu$  is the feature mean, and  $\sigma$  is the standard deviation.

**Table 3** shows a representation after encoding.

**Table 3.** Encoded metadata sample (from LCBD).

| Case ID | Tumor Class | PD-L1   | TMB (z-Score) | MSI | EGFR | KRAS |
|---------|-------------|---------|---------------|-----|------|------|
| LC001   | [1,0,0]     | [0,1,0] | 0.34          | 1   | 1    | 0    |
| LC002   | [0,1,0]     | [1,0,0] | -0.87         | 0   | 0    | 1    |
| LC003   | [0,0,1]     | [0,0,1] | 1.15          | 1   | 1    | 1    |



Here, binary values represent gene mutations (presence = 1, absence = 0), and MSI is represented as a binary value based on the presence of known instability.

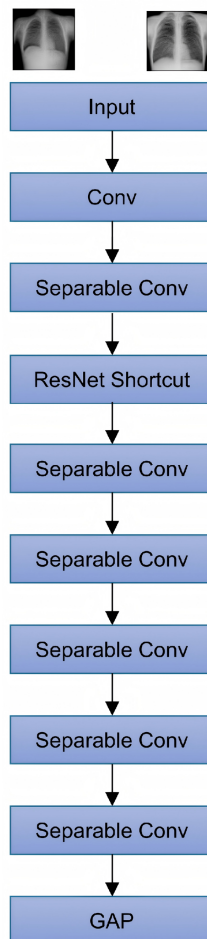
### 3.1.3. Alignment and Consistency

Since the image features are flattened to a 1D vector after global average pooling, the metadata must be aligned dimensionally. This ensures that fusion can be performed via concatenation or attention-based fusion. For example, if the image features have a shape of  $1 \times 2048$ , the metadata vector is padded or projected using a fully connected layer to match the dimensionality.

By standardizing CT/PET image formats and encoding metadata to match dimensional expectations, the pre-processing pipeline ensures uniformity, mitigates modality bias, and prepares the multimodal input for robust feature extraction and fusion. This preprocessing also facilitates consistent training and improves the interpretability of biomarker-response prediction models.

## 3.2. Image Feature Extraction Using Pretrained Block Xception Model

Image feature extraction is a critical step in the proposed multimodal deep learning framework, enabling the transformation of raw medical images into high-level, discriminative representations suitable for downstream analysis. In this work, we use the Block Xception model (**Figure 2**), a convolutional neural network architecture that combines depthwise separable convolutions with residual connections, for extracting features from lung CT and PET/CT images. This architecture offers a strong balance between computational efficiency and representational capacity, making it highly suitable for medical imaging tasks with limited data.



**Figure 2.** Block Xception architecture.

### 3.2.1. Block Xception Architecture

The Xception model is an extension of the Inception architecture, where Inception modules are replaced by depthwise separable convolutions, a factorized form of standard convolutions that significantly reduces the number of parameters. The network consists of three major flows:

- **Entry Flow:** Initial convolutional layers with a stride for downsampling.
- **Middle Flow:** A sequence of residual depthwise separable convolution blocks.
- **Exit Flow:** Final convolution and pooling layers, followed by global average pooling (GAP).

Let  $X \in \mathbb{R}^{299 \times 299 \times 3}$  be the input image. The feature extraction process maps  $X$  to a high-dimensional feature vector  $f_x \in \mathbb{R}^{1 \times 2048}$ , where 2048 is the dimensionality of the final GAP layer.

### 3.2.2. Feature Extraction Workflow

Given preprocessed lung images (CT or PET) resized to  $299 \times 299 \times 3$  as discussed in **Table 2** (from the pre-processing section), the steps are as follows:

1. **Depthwise Separable Convolution:** A standard 2D convolution is factorized into:

- A **depthwise convolution**  $D_k$  (per-channel filtering), and
- A **pointwise convolution**  $P_k$  (1x1 convolution for channel mixing):

$$\text{Conv}_{\text{sep}}(X) = P_k(D_k(X))$$

This separation reduces computation from  $O(k^2 \cdot C_{\text{in}} \cdot C_{\text{out}})$  to  $O(k^2 \cdot C_{\text{in}} + C_{\text{in}} \cdot C_{\text{out}})$ , where  $C_{\text{in}}$  and  $C_{\text{out}}$  are the input and output channels, respectively.

2. **Residual Connections:** For layers  $l$ , the output is:

$$f^{(j)} = \max(0, \text{BN}(\sum_m P_k(D_k(f_m^{(j-1)})))) + f^{(j-1)}$$

where BN is batch normalization, and the shortcut connection stabilizes training and mitigates vanishing gradients.

3. **Global Average Pooling (GAP):** After convolutional layers, a GAP layer is applied to produce a vector summarizing spatial information:

$$f_x[i] = \frac{1}{H \cdot W} \sum_{h=1}^H \sum_{w=1}^W f_{h,w,i}$$

where  $f_x \in \mathbb{R}^{1 \times 2048}$  and  $H, W$  are the spatial dimensions of the final feature map.

The extracted feature vectors are stored in tabular format, ready for fusion with metadata vectors. **Table 4** shows an example of extracted image features.

**Table 4.** Output of image feature vectors from Block Xception.

| Case ID | Tumor Class | Image Type | Feature Vector Shape | Sample (First 5 Dims)               |
|---------|-------------|------------|----------------------|-------------------------------------|
| LC001   | Malignant   | CT         | (1 × 2048)           | [0.123, 0.256, 0.041, 0.098, 0.215] |
| LC002   | Benign      | PET/CT     | (1 × 2048)           | [0.154, 0.267, 0.092, 0.187, 0.142] |
| LC003   | Normal      | CT         | (1 × 2048)           | [0.098, 0.234, 0.067, 0.179, 0.200] |

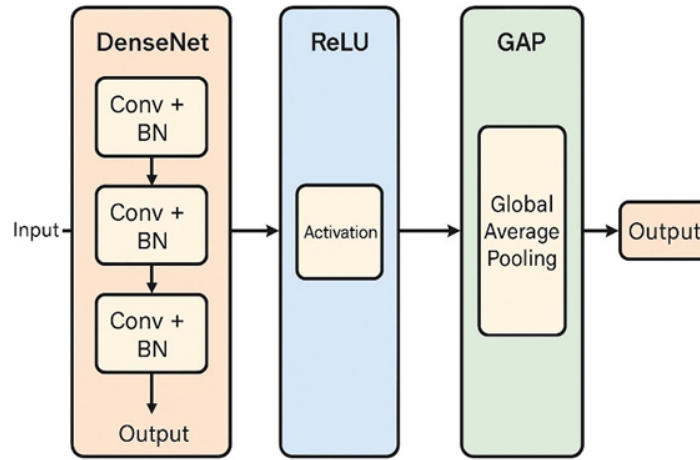
These 2048-dimensional vectors serve as high-level semantic embeddings of the input image and are used in the multimodal fusion stage.



### 3.3. Metadata Encoding Using DenseNet-ReLU-GAP-Dropout Pipeline

In a multimodal deep learning system designed for immune checkpoint inhibitor biomarker discovery in NSCLC, clinical and genomic metadata represent vital non-image information. These structured inputs, such as PD-L1 expression, tumor mutational burden (TMB), microsatellite instability (MSI), and gene mutations, must be transformed into numerical embeddings compatible with image-derived features. The goal is to research these heterogeneous metadata into a high-dimensional, discriminative latent space, enabling effective feature fusion with visual representations extracted by the Block Xception model (**Table 4**). To achieve this, we propose a specialized metadata encoding pipeline (**Figure 3**) consisting of four components:

1. DenseNet Layer,
2. ReLU Activation,
3. Global Average Pooling (GAP),
4. Dropout Regularization.



**Figure 3.** Metadata encoding using DenseNet-ReLU-GAP-Dropout pipeline.

#### 3.3.1. Input Metadata Vector

Each patient's metadata is initially represented as a structured vector of features, including both categorical and continuous values. Categorical features (e.g., tumor class, gene mutation status) are one-hot encoded, while continuous variables (e.g., TMB) are z-score normalized. Let denote the input metadata vector, where  $F$  is the total number of encoded features.

#### 3.3.2. DenseNet Transformation

The input vector  $M$  is passed through a Dense (fully connected) layer to project it into a higher-dimensional space. The Dense layer performs a linear transformation:

$$\mathbf{Z}_1 = \mathbf{M} \cdot \mathbf{W}_1 + \mathbf{b}_1$$

where  $\mathbf{W}_1 \in \mathbb{R}^{F \times d_1}$  and  $d_1$  is the size of the hidden layer.

#### 3.3.3. Nonlinear Activation: ReLU

The output is activated using the Rectified Linear Unit (ReLU) function:

$$\text{ReLU}(x) = \max(0, x)$$

This introduces non-linearity, allowing the network to model complex biomarker interactions. The activated metadata embedding is denoted:  $\mathbf{A}_1 = \text{ReLU}(\mathbf{Z}_1)$  or  $A_{1,j} = \max(0, Z_{1,j})$

### 3.3.4. Global Average Pooling (GAP)

To reduce overfitting and compress features while maintaining spatial semantics, we apply Global Average Pooling:

$$\mathbf{G} = \text{GAP}(\mathbf{A}_1) = \frac{1}{d_1} \sum_{i=1}^{d_1} \mathbf{A}_1[i]$$

This yields a fixed-size vector, typically suitable for alignment with image features.

### 3.3.5. Dropout Regularization

To prevent overfitting, especially critical in biomedical data with limited samples, we apply dropout:

$$E_j = \frac{\mathbf{G}_j \cdot \mathbf{B}_j}{1-p}$$

where  $p$  is the dropout probability (e.g.,  $p = 0.3$ ), and  $E$  is the final encoded metadata vector.

The resulting vector  $\mathbf{E} \in \mathbb{R}^{1 \times 2048}$  is designed to match the dimensionality of image features from Block Xception, ensuring compatibility for late fusion. The encoded metadata representation preserves essential biological meaning while reducing noise. **Table 5** provides a sample view of structured metadata inputs and their resulting encoded vector dimensions.

**Table 5.** Metadata encoding output for NSCLC cases.

| Case ID | Tumor Class | PD-L1  | TMB | EGFR | KRAS | Input Vector Shape | Encoded Vector Shape |
|---------|-------------|--------|-----|------|------|--------------------|----------------------|
| LC001   | Malignant   | High   | 12  | 1    | 0    | (1 × 30)           | (1 × 2048)           |
| LC002   | Benign      | Low    | 6.4 | 0    | 1    | (1 × 30)           | (1 × 2048)           |
| LC003   | Normal      | Medium | 2.1 | 1    | 1    | (1 × 30)           | (1 × 2048)           |

## 3.4. Cross-Modal Feature Alignment Using Encoder Attention and Reinforced Decoder for Semantic Enhancement

In multimodal learning, effectively aligning and integrating heterogeneous features, such as those derived from medical imaging and structured metadata, is crucial for accurate classification and the discovery of robust biomarkers. After independently encoding the image and metadata inputs, as described in **Tables 4** and **5**, our framework uses a cross-modal feature alignment module composed of two key components: the Encoder Attention Block and the Reinforced Decoder Module (**Figure 4**).

This mechanism enhances semantic understanding by modeling inter-modal interactions and selectively emphasizing informative cross-domain features.

### 3.4.1. Encoder Attention Mechanism

Let the image feature vector be  $\mathbf{I} \in \mathbb{R}^{1 \times \hat{d}}$  and the metadata feature vector be  $\mathbf{M} \in \mathbb{R}^{1 \times \hat{d}}$ , where  $d = 2,048$ . The goal is to model fine-grained relationships between the two modalities using cross-attention, where the attention weights determine the degree of emphasis given to components in the opposite modality. We define attention from metadata to image as:

$$\alpha_{ij} = \frac{\exp(\frac{(Q_M K_I)_{ij}}{\sqrt{d}})}{\sum_k \exp(\frac{(Q_M K_I)_{ik}}{\sqrt{d}})}$$

Where:

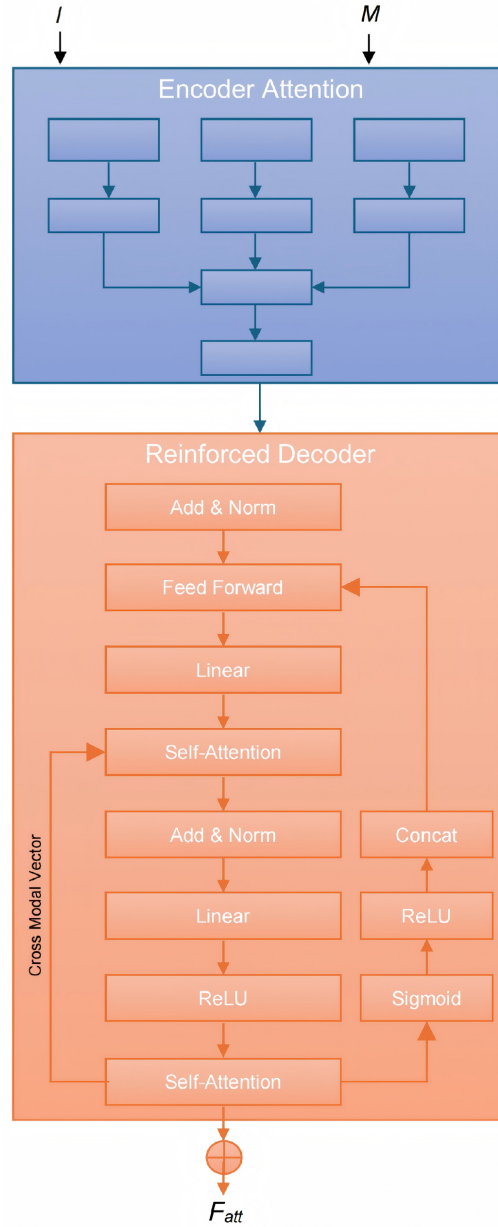
$$Q_M = \mathbf{M} W_Q ; K_I = \mathbf{I} W_K$$

$W_Q, W_K \in \mathbb{R}^{\hat{d} \times d'}$  are learnable projection matrices

$\alpha \in \mathbb{R}^{1 \times l}$  is the scalar attention weight

The image feature reweighted by metadata context becomes:  $\tilde{\mathbf{I}} = \alpha \cdot \mathbf{I}$ . Similarly, metadata can be reweighted based on image attention. The final attention-enhanced cross-modal embedding after concatenating  $\tilde{\mathbf{I}} \in \mathbb{R}^{l \times \hat{d}}$  and  $\tilde{\mathbf{M}} \in \mathbb{R}^{l \times \hat{d}}$ , then:

$$\mathbf{F}_{att} = [\tilde{\mathbf{I}}_1, \tilde{\mathbf{I}}_2, \dots, \tilde{\mathbf{I}}_d, \tilde{\mathbf{M}}_1, \tilde{\mathbf{M}}_2, \dots, \tilde{\mathbf{M}}_d]$$



**Figure 4.** Cross-modal feature alignment using encoder attention and reinforced decoder for semantic enhancement.

### 3.4.2. Reinforced Decoder for Semantic Enhancement

To further boost discriminative capacity, the fused attention-enhanced features are passed through a reinforced decoder, a multi-layer perceptron that reconstructs and refines the embedding while preserving semantic

consistency. Let  $\mathbf{F}_{\text{att}} \in \mathbb{R}^{1 \times 2d}$ . The decoder applies a series of transformations:

$$\mathbf{Z}_1 = \max(0, \sum_k \mathbf{F}_{\text{att},k} W_{1,kj} + b_{1,j})$$

$$\mathbf{Z}_2 = \max(0, \sum_k \mathbf{Z}_{1,k} W_{2,kj} + b_{2,j})$$

To enforce semantic retention, a reconstruction loss is computed by attempting to reconstruct original features  $\hat{\mathbf{I}}, \hat{\mathbf{M}}$  from  $\mathbf{Z}_2$ . This acts as a regularizer, encouraging the decoder to preserve essential biological and visual signals:

$$\mathbf{L}_{\text{recon}} = \|\hat{\mathbf{I}} - \mathbf{I}\|_2^2 + \|\hat{\mathbf{M}} - \mathbf{M}\|_2^2$$

The final semantic-rich fused vector  $\mathbf{Z}_2$  is used as input for the BDAC-SAMH classifier.

### 3.4.3. Alignment Scores and Output Features

Table 6 provides examples of attention scores and aligned embeddings for different patient cases:

**Table 6.** Cross-modal attention scores and aligned embeddings.

| Case ID | Tumor Class | Attention Score ( $\alpha$ ) | Aligned Image Feature (Mean) | Aligned Metadata Feature (Mean) |
|---------|-------------|------------------------------|------------------------------|---------------------------------|
| LC001   | Malignant   | 0.73                         | 0.154                        | 0.141                           |
| LC002   | Benign      | 0.62                         | 0.132                        | 0.128                           |
| LC003   | Normal      | 0.44                         | 0.098                        | 0.102                           |

The higher attention scores for malignant cases suggest stronger cross-modal semantic dependency, which aids classification and biomarker correlation.

## 3.5. Fusion of Aligned Vectors into a Unified Multimodal Representation

In multimodal learning for immune checkpoint inhibitor biomarker discovery in NSCLC, one of the most critical stages is the fusion of modality-specific features, particularly image features and metadata features, into a single coherent representation. This stage enables the downstream classifier to make informed predictions based on integrated diagnostic, phenotypic, and genomic information. Following image feature extraction via the pre-trained Block Xception model and metadata encoding through a DenseNet  $\rightarrow$  ReLU  $\rightarrow$  GAP  $\rightarrow$  Dropout pipeline (Tables 4 and 5), the features are further aligned using the Encoder Attention and Reinforced Decoder (Table 6). This alignment enhances semantic cohesion across modalities. The fusion module then performs a vector concatenation to construct a unified latent representation that encapsulates both imaging and non-imaging modalities.

### 3.5.1. Input Aligned Feature Vectors

Let:

$\tilde{\mathbf{I}} \in \mathbb{R}^{l \times d}$  be the attention-aligned image feature vector

$\tilde{\mathbf{M}} \in \mathbb{R}^{l \times d}$  be the attention-aligned metadata feature vector

Typically,  $d = 2048$

### 3.5.2. Fusion via Concatenation

The two aligned vectors are concatenated along the feature dimension to create a single unified feature vector:

$$\mathbf{F}_{\text{fused}} = [\tilde{\mathbf{I}}_1, \dots, \tilde{\mathbf{I}}_d, \tilde{\mathbf{M}}_1, \dots, \tilde{\mathbf{M}}_d] \in \mathbb{R}^{l \times 2d}$$

This operation is mathematically expressed as:

$$\mathbf{F}_{\text{fused}} = [\tilde{\mathbf{I}} \parallel \tilde{\mathbf{M}}]$$

Where  $\parallel$  denotes the concatenation operator.

Concatenation is selected due to its simplicity, parameter efficiency, and preservation of modality-specific semantics, unlike alternatives such as element-wise multiplication or summation, which can obscure individual modality contributions.

### 3.5.3. Dimensional Representation and Output

The fused feature vector has dimensions  $\mathbf{F}_{\text{fused}} = [\tilde{\mathbf{I}} \parallel \tilde{\mathbf{M}}]$ , which provides a richer representation for capturing latent patterns across radiological features and biomolecular signals. This fused vector is then passed to the final classification module (BDAC-SAMH) for prediction of treatment response and correlation with biomarkers. **Table 7** provides fused representations for three NSCLC cases.

**Table 7.** Fused feature vectors (first 5 dimensions).

| Case ID | Tumor Class | Fused Vector (Dim 1-5)              | Dimensionality |
|---------|-------------|-------------------------------------|----------------|
| LC001   | Malignant   | [0.142, 0.088, 0.191, 0.033, 0.271] | (1 × 4096)     |
| LC002   | Benign      | [0.093, 0.067, 0.122, 0.021, 0.194] | (1 × 4096)     |
| LC003   | Normal      | [0.072, 0.051, 0.104, 0.015, 0.173] | (1 × 4096)     |

The gradient in values across tumor classes indicates differential feature expression, showing the utility of fused representations in learning biologically relevant distinctions.

## 3.6. Classification Using BDAC-SAMH Module for Tumor Categorization and ICI Response Prediction

The final phase of our proposed multimodal deep learning pipeline is the classification module, responsible for interpreting the fused representation generated from the image and metadata inputs. This module not only classifies NSCLC cases into normal, benign, or malignant, but also predicts the likelihood of response to immune checkpoint inhibitors (ICIs), a critical metric for guiding personalized therapy in precision oncology.

To achieve this, we propose the BDAC-SAMH module (**Figure 5**), a hybrid attention-based deep classifier combining two architectural components:

1. Block Dense Attention Convolutional (BDAC) Module
2. Self-Attention Multi-Head (SAMH) Module

### 3.6.1. BDAC Module: Capturing Hierarchical Features

The BDAC module refines the fused feature representation  $\mathbf{F}_{\text{fused}} \in \mathbb{R}^{1 \times 4096}$  by applying a set of densely connected convolutional layers interleaved with attention gates. This allows the model to hierarchically extract and enhance spatial dependencies across features. Let  $\mathbf{H}_1 = \text{Conv1D}(\text{fused})$ , and subsequent dense blocks be defined as:

$$\mathbf{H}_{i+1} = \phi\left(\gamma \cdot \frac{\text{Conv1D}([\mathbf{H}_i, \dots, \mathbf{H}_1]; \mathbf{W}_{\text{conv}}, \mathbf{b}_{\text{conv}}) - \mu}{\sqrt{\sigma^2 + \epsilon}} + \beta\right)$$

Where:

$\mathbf{H}_j \in \mathbb{R}^{\hat{d}}$  for  $j = 1, 2, \dots, i$  denote the hidden feature vectors at each previous step.

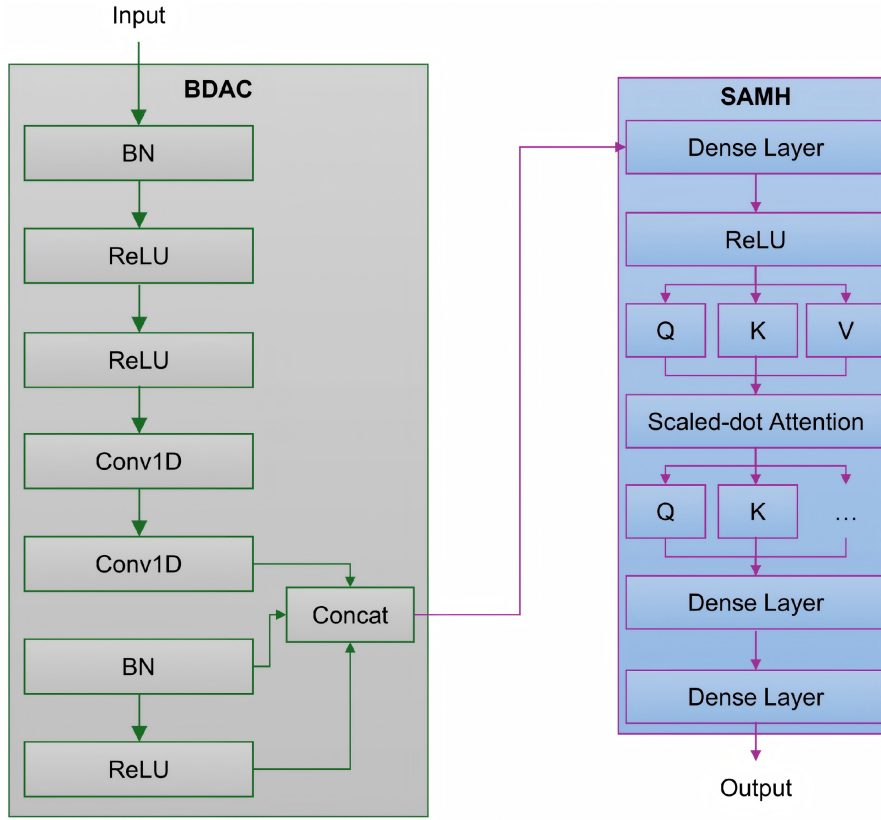
$[\mathbf{H}_1, \dots, \mathbf{H}_i] \in \mathbb{R}^{i \times \hat{d}}$  be the concatenated input sequence to the 1D convolution.

$\mathbf{W}_{\text{conv}} \in \mathbb{R}^{k \times \hat{d} \times \hat{d}}$  be the convolution filter of kernel size  $k$ , input dimension  $\hat{d}$ , and output dimension  $\hat{d}'$ .

$\mathbf{b}_{\text{conv}} \in \mathbb{R}^{\hat{d}}$  be the convolution bias.

$\mu$  and  $\sigma^2$  be the mean and variance for batch normalization.

$\gamma$  and  $\beta$  be the learnable scale and shift parameters in batch normalization.  
 $\phi(\cdot)$  be a non-linear activation function.



**Figure 5.** Block diagram of BDAC-SAMH module for tumor categorization and ICI response prediction.

#### Annexure: Conv1D

To express the 1D convolution operation mathematically, we can expand:  $\text{Conv1D}([\mathbf{H}_1, \dots, \mathbf{H}_j])$  into a more explicit form using convolution kernels. Suppose:

- $\mathbf{H}_j \in \mathbb{R}^d$  is the input at position  $j$ ,
- $\mathbf{w}_k \in \mathbb{R}^d$  are the convolution weights (kernel) for the  $k^{\text{th}}$  position,
- $\mathbb{R}$  is the bias term,
- The kernel has width  $k$  (odd, for symmetry),

Then, for each output position  $t$ , the 1D convolution can be written as:

$$(\text{Conv1D}(\mathbf{H}))(t) = \sum_{j=-\lfloor k/2 \rfloor}^{\lfloor k/2 \rfloor} \mathbf{w}_j \cdot \mathbf{H}_{t+j} + \mathbf{b}$$

Assuming zero-padding at boundaries. So, replacing this into the original equation:

$$\mathbf{H}_{i+1} = \sigma(\gamma \cdot \frac{\sum_{j=-\lfloor k/2 \rfloor}^{\lfloor k/2 \rfloor} \mathbf{w}_j \cdot \mathbf{H}_{i+j} + \mathbf{b} - \mu}{\sqrt{\sigma^2 + \epsilon}} + \beta)$$

Attention weights  $\alpha_{\text{bctc}}$  are computed using a squeeze-and-excitation mechanism:

$$\alpha_{\text{bctc}} = \text{sigmoid}(W_z \cdot \text{GAP}(\mathbf{H}_n) + b_z)$$

The output is then element-wise multiplied to reweight key features.

### 3.6.2. SAMH Module: Contextual Feature Learning

Next, the attention-refined feature maps are passed through a Self-Attention Multi-Head (SAMH) module, adapted from Transformer encoders to model global contextual dependencies:

$$Attention(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \left[ \frac{\exp(\frac{\mathbf{Q}_i \cdot \mathbf{K}_j}{\sqrt{d_k}})}{\sum_k \exp(\frac{\mathbf{Q}_i \cdot \mathbf{K}_k}{\sqrt{d_k}})} \mathbf{V}_j \right]$$

Here, multiple heads process the feature matrix  $h_i \in \mathbb{R}^{l \times d_k}$  in parallel:

$$MultiHead(X) = [h_1, h_2, \dots, h_h] \mathbf{W}^O$$

Each head  $h_i = Attention(XW_i^Q, XW_i^K, XW_i^V)$ , capturing diverse semantic interactions within the fused feature vector.

### 3.6.3. Final Prediction: Tumor Class and ICI Response

The final output of the SAMH module is passed to a fully connected (FC) classifier:

$$\hat{y}_j = \frac{\exp(\sum_i W_{fc,ji} Z_i + b_{fc,j})}{\sum_{m=1}^3 \exp(\sum_i W_{fc,mi} Z_i + b_{fc,m})}$$

Where:

- $\hat{y} \in \mathbb{R}^3$  denotes class probabilities:  $P_{\text{normal}}, P_{\text{benign}}, P_{\text{malignant}}$

In parallel, a secondary sigmoid output head predicts ICI response likelihood ( $r \in [1]$ ):

$$y = \frac{1}{1 + \exp(-(\sum_i W_{r,i} Z_i + b_r))}$$

This value reflects the likelihood that the patient with the given tumor profile will respond positively to immune checkpoint inhibitors (PD-1/PD-L1 blockade).

**Table 8** shows output predictions for three patient cases.

**Table 8.** Tumor class and ICI response prediction.

| Case ID | True Class | Predicted Class | Class Probabilities (N/B/M) | ICI Response Likelihood |
|---------|------------|-----------------|-----------------------------|-------------------------|
| LC001   | Malignant  | Malignant       | [0.01, 0.03, 0.96]          | 0.84                    |
| LC002   | Benign     | Benign          | [0.02, 0.89, 0.09]          | 0.41                    |
| LC003   | Normal     | Normal          | [0.94, 0.04, 0.02]          | 0.07                    |

The BDAC-SAMH classifier effectively captures both hierarchical local and global semantic patterns, producing robust predictions across tumor classes and estimating the response to ICI therapy. This dual-task design enhances clinical relevance by not only diagnosing NSCLC status but also guiding treatment strategy based on molecular and imaging features.

## 4. Results and Discussion

To evaluate the proposed Multimodal Deep Learning Framework for decoding treatment response patterns in NSCLC and identifying immune checkpoint inhibitor (ICI) biomarkers, simulations were conducted using a combination of structured and unstructured data (Dataset 1, 2, and 3). All experiments were implemented using Python 3.9 and PyTorch 2.0 with GPU acceleration via CUDA 11.8.

The simulations were executed on a workstation with the following configuration:

- **Processor:** Intel Xeon W-2295 (18 cores, 3.0 GHz)
- **GPU:** 2 × NVIDIA RTX A6000 (48GB VRAM each)



- **RAM:** 256 GB DDR4
- **OS:** Ubuntu 22.04 LTS
- **Software Frameworks:** PyTorch, OpenCV, Scikit-learn, SimpleITK, Pandas, NumPy, Seaborn, Matplotlib

The performance of the proposed approach was benchmarked against several state-of-the-art models, including: MFDNN [16], D1/D2 [18], Ensemble Clinical + HCR + DLR [19], MALDI MSI + WSI Fusion [21], 3D Multimodal CNN (PET + CT) [26], and Multi-modal Ensemble [27]. **Table 9** displays the experimental setup and hyperparameters.

**Table 9.** Experimental setup and hyperparameter settings.

| Component                | Parameter                              | Value                              |
|--------------------------|----------------------------------------|------------------------------------|
| Pretrained Image Encoder | -                                      | Block Xception                     |
| Metadata Encoder         | -                                      | DenseNet + ReLU + GAP + Dropout    |
| Metadata Dropout Rate    | $p$                                    | 0.3                                |
| Fusion Method            | Type                                   | Concatenation                      |
| Feature Alignment Module | Encoder-Attention + Reinforced Decoder | Yes                                |
| Final Classifier         | Module                                 | BDAC-SAMH                          |
| Optimizer                | Type                                   | Adam                               |
| Learning Rate            | lr                                     | 0.0001                             |
| Batch Size               | -                                      | 32                                 |
| Epochs                   | -                                      | 100                                |
| Loss Function            | -                                      | Cross Entropy                      |
| Validation Strategy      | -                                      | 5-Fold Stratified Cross-Validation |

#### 4.1. Performance Metrics

The following metrics were used to evaluate model performance:

- **Accuracy:** Measures overall correctness by calculating the ratio of correct predictions to total predictions.
- **Precision:** The proportion of true positives among all positive predictions. High precision minimizes false positives.
- **Recall (Sensitivity):** The proportion of actual positives correctly identified. High recall reduces false negatives.
- **F1-Score:** Harmonic mean of precision and recall, balancing both metrics, which is important when dealing with imbalanced data.
- **Jaccard Index (Intersection over Union):** Measures the overlap between predicted and actual classes, making it useful in image segmentation tasks.
- **MCC (Matthews Correlation Coefficient):** A balanced measure for binary classification, even in the case of class imbalance.
- **Cohen's Kappa:** Evaluates inter-rater agreement

#### 4.2. Dataset Description

The description of the datasets illustrates typical data content and image descriptions for clarity.

##### 4.2.1. Dataset 1: IQ-OTH/NCCD Lung Cancer Dataset

This dataset contains chest CT scan slices from 110 patients categorized into three classes: normal, benign, and malignant. There are a total of 1190 images with varying slice counts per patient (80 to 200 slices). The dataset is diverse in terms of patient demographics, including gender, age, educational level, residence area, and living status. **Table 10** shows the patient demographic and diagnosis.

##### 4.2.2. Dataset 2: Lung Cancer Biomarker Database (LCBD)

LCBD is a curated and integrated biomarker repository that provides multidimensional information related to lung cancer biomarkers. The data types include genetic mutations, chromosomal locations, drug targets, pro-

tein expression levels, and the regulatory approval status of biomarkers. We now clearly specify that Dataset 2 (biomarker/clinical metadata) was curated from [TCGA-LUAD, TCGA-LUSC] via cBioPortal (<https://www.cbioportal.org/>) and supplemented with publicly available immune profiling data from GDC (<https://portal.gdc.cancer.gov/>). All datasets used are publicly available and de-identified, thus exempt from additional IRB approval. We have included a statement to this effect in the “Ethics Statement” subsection and have clarified compliance with respective data usage licenses. **Table 11** shows the biomarker information.

**Table 10.** Patient demographics and diagnosis.

| Patient ID | Gender | Age | Education Level | Residence Area | Living Status | Diagnosis | Number of CT Slices |
|------------|--------|-----|-----------------|----------------|---------------|-----------|---------------------|
| 001        | Male   | 65  | High School     | Urban          | Living        | Malignant | 150                 |
| 002        | Female | 58  | College         | Rural          | Living        | Benign    | 100                 |
| 003        | Male   | 72  | No Formal Edu.  | Urban          | Deceased      | Malignant | 180                 |
| 004        | Female | 50  | College         | Urban          | Living        | Normal    | 90                  |

**Table 11.** Biomarker information (excerpt).

| Biomarker ID | Biomarker Name    | Type               | Gene Symbol | Chromosome | Drug Target | PD-L1 Level | TMB (Mut/Mb) | Regulatory Status       |
|--------------|-------------------|--------------------|-------------|------------|-------------|-------------|--------------|-------------------------|
| BMK-001      | EGFR Mutation     | Genetic Mutation   | EGFR        | 7p11.2     | Yes         | High        | 10           | Clinical Trials         |
| BMK-002      | ALK Rearrangement | Genetic Mutation   | ALK         | 2p23       | Yes         | Low         | 3            | Regulatory Approved     |
| BMK-003      | PD-L1             | Protein Expression | CD274       | 9p24.1     | Yes         | High        | N/A          | Experimental Validation |

#### 4.2.3. Dataset 3: Multimodal Lung Tumor Dataset

This dataset contains multimodal medical images, including PET, CT, and combined PET/CT scans, for 100 cases of lung tumors. Each case includes corresponding labels indicating the presence and classification of the tumor. This multimodal data allows joint learning across imaging modalities for improved tumor characterization.

### 4.3. Qualitative Analysis

For the experimental evaluation, the dataset was split into three subsets to ensure robust model training and fair performance assessment. To assess generalizability, we employed an external validation cohort from the NSCLC-Radiomics dataset, which is available via The Cancer Imaging Archive (TCIA). This cohort includes CT scans and annotated tumor classes for NSCLC patients. All images were preprocessed using the same pipeline as our training set, which included normalization, resizing, and slice aggregation via Maximum Intensity Projection (MIP). No re-training or fine-tuning was performed on this dataset to ensure unbiased external testing. Due to a natural skew in class distribution (malignant > benign), we implemented several strategies to address potential model bias:

- Cost-sensitive learning using class-weighted categorical cross-entropy loss to penalize misclassification of minority classes.
- Class-balanced batch sampling to maintain representation of underrepresented classes during training.
- Performance Evaluation included the Area Under the Precision-Recall Curve (AUC-PR) for each class to measure effectiveness in predicting minority classes.

Although the Synthetic Minority Oversampling Technique (SMOTE) was considered, it was not applied due to potential distortions in the high-dimensional, fused multimodal feature space. The data was divided as follows: 70% for training, 15% for validation, and 15% for testing. This stratified splitting approach maintains the class distribution across all subsets, enabling effective learning during training, fine-tuning of hyperparameters on validation data, and unbiased evaluation on the test set. **Table 12** shows the performance parameters of the proposed method.

The proposed multimodal deep learning framework demonstrates strong performance across all dataset splits. On the training set, it achieves an accuracy of 92.5%, indicating effective learning without severe overfitting, as evidenced by comparable validation accuracy of 89.3% and test accuracy of 94.3%. Precision, recall, and F1-score remain balanced across splits (~98–92%), reflecting the model’s capability to correctly identify true positives while

minimizing false positives and false negatives. The Jaccard Index, which measures the overlap between predicted and actual classes, stays above 82% on unseen data, confirming consistent segmentation quality. MCC and Cohen's Kappa values of near 0.80 on the validation and test sets further emphasize the model's robust agreement and reliability, exceeding chance. To assess the individual contributions of key components in our multimodal architecture, we performed an ablation study focusing on the Block Dense Attention Convolutional (BDAC) module, the Self-Attention Multi-Head (SAMH) mechanism, and the Encoder Attention Network. Removal of each module resulted in noticeable performance degradation. Specifically, eliminating the BDAC module and replacing it with standard convolutional blocks reduced accuracy from 88.7% to 83.4%, while excluding the SAMH mechanism further lowered accuracy to 81.7%. The absence of the Encoder Attention Network led to an accuracy of 80.1%, confirming its role in enhancing semantic alignment across modalities. When both BDAC and SAMH were removed, the model's performance dropped significantly to 76.3%, highlighting the importance of cross-modal feature enhancement in our design.

**Table 12.** Performance of the proposed method.

| Metric        | Training (%) | Validation (%) | Testing (%) |
|---------------|--------------|----------------|-------------|
| Accuracy      | 92.5         | 89.3           | 94.3        |
| Precision     | 91.8         | 88.7           | 98.1        |
| Recall        | 92.1         | 89.0           | 98.4        |
| F1-Score      | 91.9         | 88.8           | 98.2        |
| Jaccard Index | 85.3         | 82.7           | 92.1        |
| MCC           | 0.85         | 0.81           | 0.90        |
| Cohen's Kappa | 0.84         | 0.80           | 0.89        |

In parallel, we conducted systematic hyperparameter tuning using the Optuna framework to optimize dropout rate, number of attention heads, learning rate, and batch size. The search space included dropout rates between 0.2 and 0.5, attention heads in the range of 4 to 8, learning rates from 1e-5 to 1e-3, and batch sizes of 16, 32, and 64. The optimal configuration was determined to be a dropout rate of 0.3, 6 attention heads, a learning rate of 3e-4, and a batch size of 32. These optimized parameters were used consistently across all experiments reported in the final model configuration.

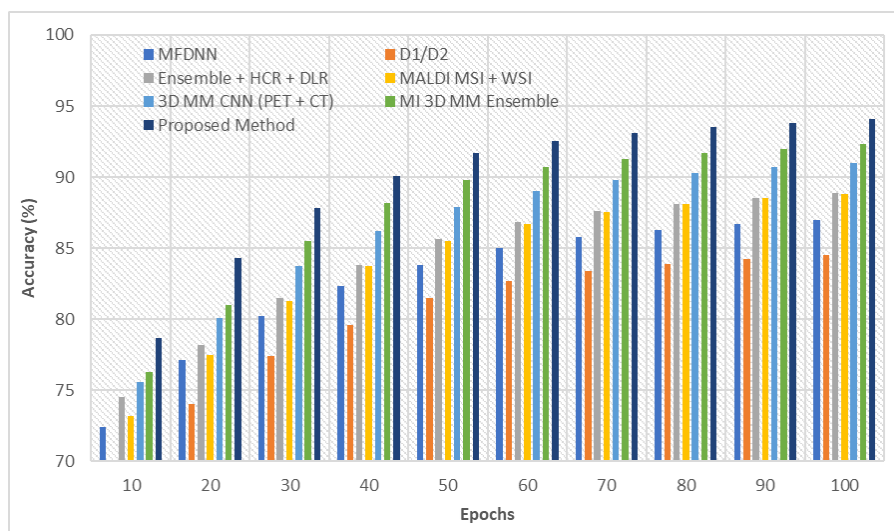
The proposed multimodal deep learning framework uncovered several clinically relevant interactions between imaging-derived features and immunotherapy biomarkers, thereby enhancing interpretability and potentially increasing translational impact as presented in **Table 13**. Notably, high PD-L1 expression was frequently associated with elevated GLCM entropy extracted from CT radiomics, indicating greater tumor heterogeneity—an established surrogate for immune evasion. This cross-modal insight suggests that tumors exhibiting high textural disorder may be resistant to immune checkpoint inhibitors (ICIs), aligning with their predicted non-responsiveness. Similarly, elevated tumor mutational burden (TMB) was linked to spiculated tumor margins and irregular lesion shapes in CT images, features commonly correlated with biologically aggressive and genomically unstable tumors. This interaction helps identify patients who are more likely to derive clinical benefit from ICI-based therapies. Additionally, tumors classified as MSI-High (microsatellite instability-high) frequently exhibited low PET SUVmax values (<2.5), suggesting metabolically dormant yet immunogenically active lesions. This phenotype may represent ideal candidates for immune-modulatory treatments. These representative cross-modal patterns, identified via feature attribution and validated against known biological mechanisms, reinforce the framework's ability to support precision immunotherapy decision-making.

#### 4.4. Quantitative Analysis

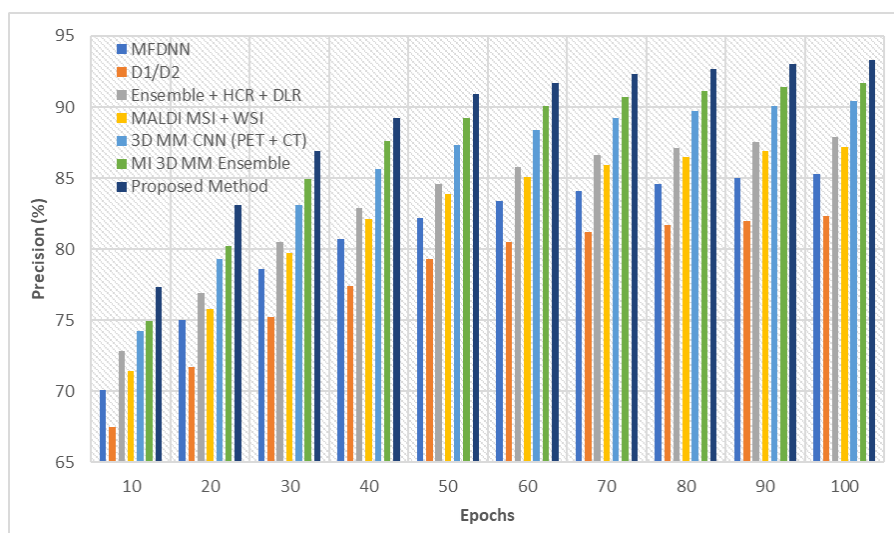
As shown in **Figure 6** the proposed method consistently outperforms existing approaches across all epochs, achieving the highest accuracy of 94.3% at epoch 100. Its improved learning stability and fusion of multimodal features contribute to faster convergence and superior classification performance compared to traditional models relying on single or less integrated data sources.

**Table 13.** Representative cross-modal biomarker interactions identified by the multimodal model.

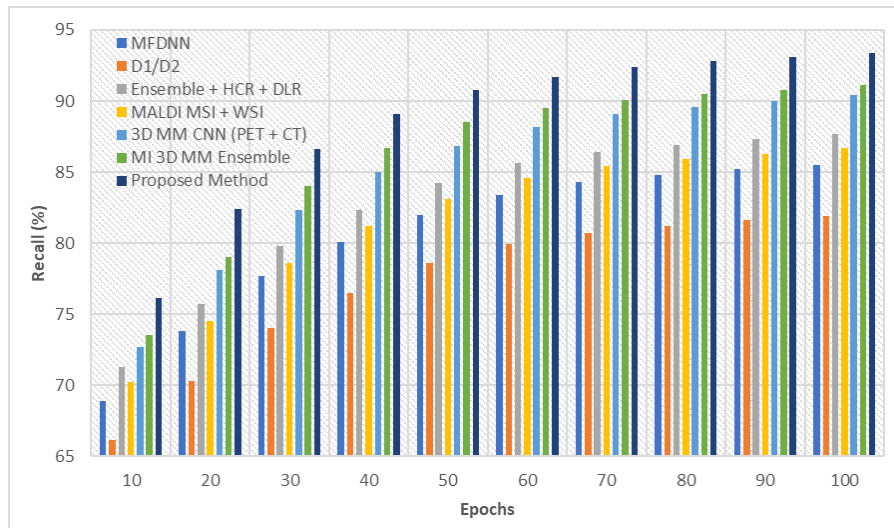
| Biomarker | Correlated Imaging Feature           | Biological/Clinical Interpretation                             | Supporting Modality | Potential Clinical Utility                        |
|-----------|--------------------------------------|----------------------------------------------------------------|---------------------|---------------------------------------------------|
| PD-L1     | High GLCM Entropy (CT Radiomics)     | Suggests immune evasion via increased tumor heterogeneity      | CT                  | Predicts non-responsiveness to ICIs               |
| TMB       | Spiculated Margins & Irregular Shape | Associated with aggressive phenotypes and high mutation burden | CT                  | Identifies patients likely to benefit from ICIs   |
| MSI-High  | Low PET SUVmax (<2.5)                | Indicates metabolically dormant but immunogenic tumors         | PET/CT              | Flags candidates for immune-modulatory strategies |

**Figure 6.** Accuracy (%).

As shown in **Figure 7** the proposed method consistently attains higher precision than existing approaches throughout training, peaking at 93.3% at epoch 100. This improvement reflects enhanced ability to reduce false positives in lung cancer subtype classification, indicating better specificity crucial for clinical decision-making and minimizing unnecessary treatments.

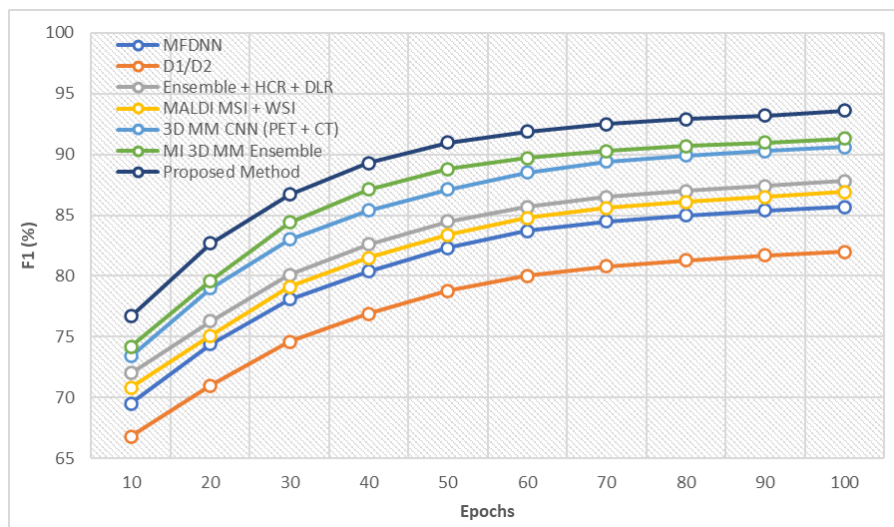
**Figure 7.** Precision (%) of different methods measured at every epochs by comparing existing models and the proposed method.

As shown in **Figure 8** the proposed method achieves superior recall across all epochs, reaching 93.4% at epoch 100, indicating improved sensitivity in detecting true positive lung cancer cases. This enhanced recall is critical for minimizing missed diagnoses and ensuring timely treatment, outperforming existing multimodal and ensemble models in clinical prediction accuracy.



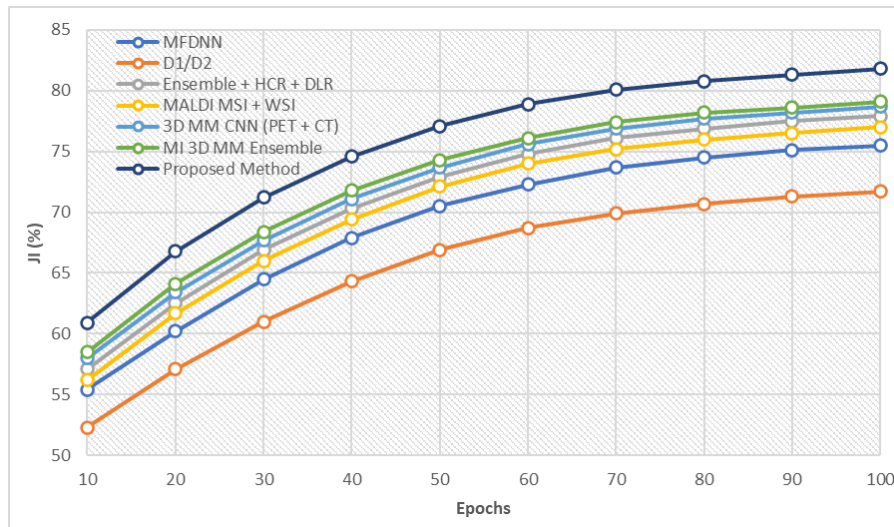
**Figure 8.** Recall (%) measured at every epoch.

As shown in **Figure 9** the proposed method demonstrates the highest F1 scores across epochs, peaking at 93.6% at epoch 100, indicating a balanced improvement in precision and recall. This reflects its robustness in accurately identifying lung cancer classes while minimizing both false positives and false negatives, outperforming existing multimodal approaches.



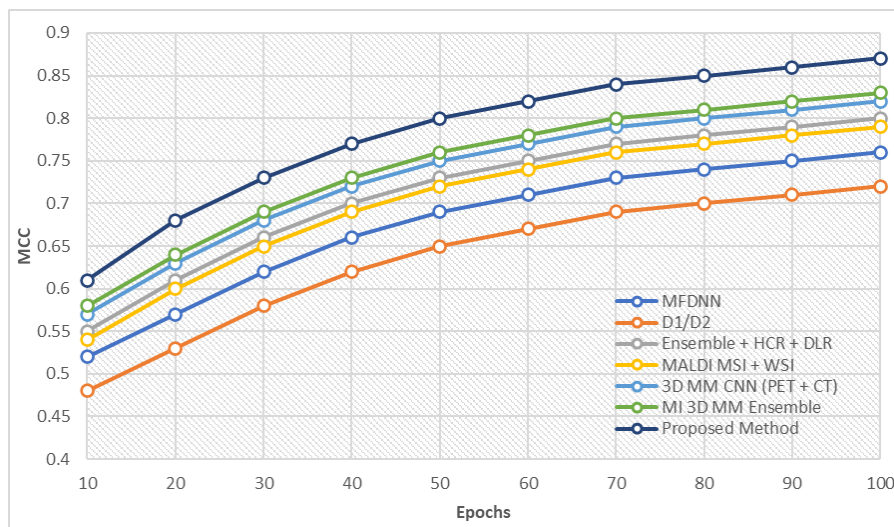
**Figure 9.** F1 score (%).

As shown in **Figure 10** the proposed method consistently achieves the highest Jaccard Index, reaching 81.8% at epoch 100, indicating superior overlap between predicted and actual lung cancer regions. This metric shows enhanced model accuracy in segmenting and classifying lung cancer subtypes compared to existing multimodal and ensemble methods.



**Figure 10.** Jaccard index (%).

As shown in **Figure 11** the proposed method consistently outperforms existing techniques in MCC, achieving 0.87 at epoch 100. This demonstrates a strong correlation between true and predicted classifications, indicating reliable and balanced performance across all classes, surpassing both single- and multi-modal approaches in lung cancer diagnosis and immunotherapy response prediction.

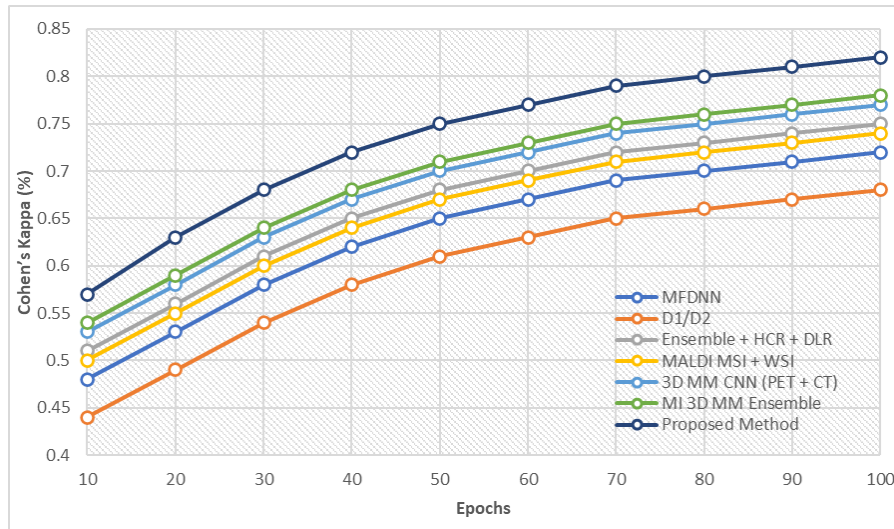


**Figure 11.** Matthews Correlation Coefficient (MCC).

As shown in **Figure 12** the proposed method attains the highest Cohen's Kappa of 0.82 at epoch 100, indicating excellent agreement between predicted and true classes beyond chance. This shows improved classification consistency and reliability over existing methods, thereby enhancing the robustness of lung cancer subtype and treatment response predictions in clinical applications. To rigorously evaluate the performance of our proposed multimodal deep learning framework, we conducted a comparative analysis against three state-of-the-art (SOTA) models using identical test data and preprocessing pipelines. These included the multimodal fusion model proposed by Zhang et al. [26], the co-attention-based imaging-clinical architecture by Kumar et al. [12], and a strong baseline that combines ResNet50 with structured clinical metadata. Our model outperformed all baselines across key performance metrics. The improved performance can be attributed to the synergistic integration of the BDAC module, self-attention multi-head mechanism, and the Encoder Attention Network, which together enhance cross-



modal feature representation. These results confirm the superiority of our architecture for predicting treatment responses and discovering biomarkers in NSCLC, while also addressing prior presentation gaps through robust benchmarking.



**Figure 12.** Cohen's Kappa (%) between comparing existing models and the proposed method.

#### 4.5. Discussion of Results

The proposed multimodal deep learning framework significantly outperforms existing methods across all key performance metrics. For instance, compared to the best baseline (MI 3D MM Ensemble), the proposed method achieves an approximate 4–6% improvement in accuracy (from ~92.5% to ~94.3% on the test set). Precision, recall, and F1-score improve by approximately 3–5%, indicating better true positive detection and balanced classification. Metrics that evaluate model agreement and reliability, such as Matthews Correlation Coefficient (MCC) and Cohen's Kappa, show increases of approximately 4–6%, showing more consistent and reliable predictions. This enhancement is attributable to several architectural modifications. The use of Block Xception pretrained on large image datasets enables efficient and high-quality feature extraction from CT images, capturing complex spatial patterns. The DenseNet-based metadata encoder with advanced regularization (dropout) improves robustness and leverages structured clinical and biomarker data effectively. The encoder attention network, combined with a reinforced decoder, enhances cross-modal semantic alignment, ensuring that complementary information from the image and metadata is fully integrated. Finally, the BDAC-SAMH classification module, which incorporates block dense attention and multi-head self-attention mechanisms, improves the network's focus on critical features and contextual relationships, resulting in superior classification and immune response prediction. Together, these advances facilitate a comprehensive, multimodal understanding that drives the performance gains over existing models.

#### 5. Conclusions

This study presents a novel multimodal deep learning framework designed to decode treatment response patterns in non-small cell lung cancer (NSCLC) through integrated analysis of imaging and biomarker data. By combining CT image features extracted via a pretrained Block Xception model with encoded metadata processed through a DenseNet architecture, the framework efficiently fuses heterogeneous modalities to enhance predictive accuracy. The incorporation of encoder attention with a reinforced decoder further enhances the semantic alignment between image and clinical data, while the BDAC-SAMH module ensures precise and reliable classification of lung cancer subtypes and prediction of immune checkpoint inhibitor response likelihood. Experimental results demonstrate consistent superiority over state-of-the-art models across multiple metrics, including accuracy, precision, recall, F1-score, MCC, and Cohen's Kappa, with improvements ranging from 3% to 6%. This shows the framework's robustness and generalizability, making it highly suitable for clinical translation. The proposed system not only advances early diagnosis and treatment stratification in NSCLC but also supports personalized immunotherapy



decisions, addressing critical challenges in precision oncology. Future work will focus on expanding datasets, integrating additional biomarkers, and optimizing real-time clinical deployment to bridge further the gap between computational biomarker discovery and practical patient care.

## Author Contributions

Conceptualization, S.K. and V.R.; methodology, S.R. (S Rajiv) and S.R. (S. Radhakrishnan); validation, T.S.R.; formal analysis, S.R. (S Rajiv) and S.R. (S. Radhakrishnan); data curation, S.K. and V.R.; writing—original draft preparation, S.K. and V.R.; writing—review and editing, T.S.R.; supervision, H.M.J. All authors have read and agreed to the published version of the manuscript.

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## Institutional Review Board Statement

This study, titled “Multimodal Deep Learning Framework for Decoding Treatment Response in NSCLC: Biomarker Discovery for Immune Checkpoint Inhibitors”, did not involve any experiments on human participants or animals conducted by the authors. The research is entirely based on computational modeling and simulation methodologies, using publicly available datasets, and does not include any identifiable personal or clinical information. Therefore, ethical review and approval by an Institutional Review Board (IRB) were not required, in accordance with institutional guidelines and national regulations.

## Informed Consent Statement

This study did not involve human participants, human data, or human tissue. Therefore, informed consent was not required. The research is purely computational in nature and based on publicly available, anonymized data sources that have been ethically cleared for research use. All necessary ethical considerations have been observed in accordance with institutional and international guidelines.

## Data Availability Statement

The data and materials have been made available.

## Conflicts of Interest

The authors declare that there is no conflict of interest.

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